



COMDTINST 1650.2B
NOV 26 2004

COMMANDANT INSTRUCTION 1650.2B

Subj: SUMNER I. KIMBALL READINESS AWARD

Ref: (a) U. S. Coast Guard Boat Operations and Training (BOAT) Manual - Volume I,
COMDTINST M16114.32 (series)
(b) Rescue and Survival Systems Manual, COMDTINST M10470.10 (series)

- PURPOSE.** To recognize achievement of high readiness by those shore units with standard boats (25' RB-HS/S, 41' UTBs, 44'/47' MLBs, and 49' BUSLs) attached. This award recognizes excellence in crew proficiency, boat and personal protective equipment condition and compliance with established training documentation requirements as essential readiness components. This award has been expanded to include the newest standard boat additions to the field, the RB-HS and RB-S, and is no longer focused towards a specific platform type, but rather the overall condition of the unit with regards to boat operations.
- ACTION.** Area and district commanders, commanders of maintenance and logistics commands, commanding officers of headquarters units, assistant commandants for directorates, and special staff offices at Headquarters shall comply with this Instruction. Internet release authorized.
- DIRECTIVES AFFECTED.** Sumner I. Kimball Readiness Award, COMDTINST 1650.2A is cancelled.
- DISCUSSION.** Reference (a) establishes the readiness rating for a unit's standard boats to be assessed and evaluation criteria for crew performance. The "Bravo" or "Bravo (Restricted)" readiness rating is the minimum level at which standard boats with fully qualified and proficient crews can perform Coast Guard missions safely and effectively. Similarly, meeting established underway exercise standards is the minimum performance level expected of qualified and certified boat crew members.

DISTRIBUTION – SDL No. 142

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A																										
B		2	2		1									2												
C					2				2		2		2			2							2		2	
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NON-STANDARD DISTRIBUTION:

5. AWARD CRITERIA. The Sumner I. Kimball Award will be authorized when a unit meets or exceeds 90 percent of the total possible score ([enclosure 1](#)) during a regularly scheduled biennial Standardization Team (STANTEAM) readiness assessment. Though various aspects of a unit's performance are observed during an assessment, only the measurements outlined in [enclosure \(1\)](#) will be used in determining award eligibility.
6. RECOGNITION.
 - a. Shore units meeting the provisions of this Instruction will be presented with the Sumner I. Kimball Pennant for temporary exterior display on the unit flagpole and a plaque for permanent interior display.
 - b. The unit will be authorized to fly the pennant until completion of the next STANTEAM visit. If the unit earns a subsequent award, the pennant will remain in place, and the subsequent award date shall be added to the Sumner I. Kimball plaque.
 - c. Commandant (G-OCS) will notify the unit, via the chain of command, of the award authorization. Plaque and pennant will be presented at an appropriate ceremony.
7. PROCEDURES. Commandant (G-OCS) shall be responsible for coordinating changes and funding this program as appropriate.
8. ENVIRONMENTAL ASPECT and IMPACT CONSIDERATIONS. Environmental considerations were examined in the development of this Instruction and have been determined to be not applicable.
9. FORMS/REPORTS. None.

R. D. SIROIS /s/
Assistant Commandant for Operations

Encl: (1) [Eligibility and Scoring Criteria](#)

SUMNER I. KIMBALL READINESS AWARD

ELIGIBILITY AND SCORING CRITERIA

1. Award eligibility. The maximum score under this scoring system is 50 points. All units with RB-HS/Ss, UTBs, MLBs, or BUSLs attached that achieve a point total of 45 or greater during a STANTEAM assessment for a particular platform or a combination of platforms will be recognized through this award process.
 - a. Pooled Stations – small (seasonal) will be scored with the parent station.
 - b. Units must meet the minimum readiness requirements as outlined in the U. S. Coast Guard Boat Operations and Training (BOAT) Manual – Volume I, COMDTINST M16114.32 (series). The unit's ready platform(s) must receive either a "Bravo" or "Bravo Restricted" assessment upon arrival to be considered for this award.
 - Units that have a single standard boat attached will have that platform's initial assessment (BRAVO, BRAVO- RESTRICTED or CHARLIE) used to determine Kimball Award eligibility.
 - Units that have multiple standard boats (either same or different type) shall have that/those platform(s) currently filling the role of "ready boat(s)" initial assessment(s) used to determine Kimball Award eligibility. EXAMPLE: Unit has a two-boat readiness requirement the day of the STAN visit. Unit has four standard boats attached. Upon arrival of the STAN Team, unit will designate which of the two platforms out of the four available are considered their ready boats. These two boat's initial assessments will be used to determine Kimball Award eligibility.
 - Units are required to designate one of their most capable platform(s) (MLB/UTB) as filling the role of ready boat(s) (if applicable). EXAMPLE: Unit has a two-boat readiness requirement the day of the STAN visit. Unit has four standard boats attached (two-UTBs, two-RB-Ss). Unit must designate at least one of their UTBs as one of the ready boats. The second ready boat requirement can be filled with any of the remaining three platforms.
 - Aids to Navigation Teams or units with a BUSL attached will have the initial assessment for the BUSL used to determine Kimball Award eligibility. For units with two BUSLs attached, one of the two platform's initial assessments will be used to determine Kimball Award eligibility.
 - If one of the platforms will not be available for inspection (emergency haul-out, on loan to another unit, etc.), the unit (through their chain of command) should notify the STAN Team and G-OCS for possible reschedule of visit when all platforms will be available.
 - Units that have more than one standard boat attached and are not required to maintain a specific readiness level can determine how many of their platforms will be used to determine Kimball Award eligibility by the following guidelines:

Standard Boats Attached	Number of boats whose Initial Assessment will be used to determine Kimball Award eligibility
2	1
3	2
4	2
5	3
6	4
>6	Contact Commandant (G-OCS-1) for requirement

Note: Catastrophic Failure

- If a unit boat experiences a catastrophic failure which leads to “Charlie” status and the boat will not be repaired prior to the STAN Team visit, the unit (through their chain of command) should consult the STAN Team and G-OCS for possible reschedule of the visit.
 - If rescheduling is not possible, units with multiple like boats must provide documentation (i.e. CASREP) and the boat will not be factored into the scoring criteria.
 - For single boat units, every effort will be made to reschedule the visit.
 - If a unit boat experiences a catastrophic failure *during* the “Upon Arrival” portion of the STAN Team visit, which results in an initial assessment of “Charlie” for their ready boat(s), the unit will not be eligible for the Kimball Award. However, the assessment will be completed and an overall rating assigned.
 - If a unit boat experiences a catastrophic failure *after* its “Upon Arrival” inspection (underway drill exercises, SAR case, etc.), the unit is still eligible for the award if it completed the core underway exercises (Towing, Dewatering, Day/Night Navigation and Piloting, Man Overboard Recovery) prior to the failure.
 - G-OCS will make the final determination concerning the issuance of the award in any case that is considered an uncommon occurrence.
- c. Units with multiple like boats that receive a boat (e.g. rotatable pool, District spare, etc) within 30 days of the STAN Team visit **will** have the boat assessed however, the boat **will not** be used for score.
- d. Units with only one boat type and have received the boat (e.g. rotatable pool, District spare, etc) within 30 days of the STAN Team visit **will** have the boat assessed and it **will** be used for score.
- e. Units that receive a new boat type (for which they have no qualified/certified crew) 90 days prior to the STAN Team visit are still required to have that platform inspected, but all scores relating to that platform (initial evaluation, platform material condition, written exams, and underway exercises) will not be counted towards the unit’s final Kimball score. If this is their only platform, they should request through their chain of command that the STAN visit be rescheduled.
2. Platform Materiel Condition. Points in this category will be assigned based on the number and severity of discrepancies reported upon arrival of the STANTEAM and as a result of the full power trial. Reference (a) and the applicable platform Operator’s Handbook describe most equipment discrepancies and the level of severity of each discrepancy (Disabling, Restrictive or Major). The following scoring system will be applied:
- a. Points for boat materiel condition upon arrival (total possible points per boat = 15)

Disabling	Restrictive	Major
0 = 5 pts	0 = 5 pts	0 = 5 pts
	1-3 = 4 pts	1-5 = 4 pts
	4-6 = 3 pts	6-10 = 3 pts
	7-9 = 2 pts	11-15 = 2 pts
	10-12 = 1 pts	16-20 = 1 pts
	>13 = 0 pts	>21 = 0 pts

- b. Units shall continue to clear all disabling and restrictive discrepancies during the STANTEAM visit.
- c. Units with multiple standard platforms shall average the arrival scores of each (both ready and stand-by boats) and divide by the number of boats to obtain unit points toward materiel condition score.

For example: Station X has one 47' MLB and one 25' RB-S. The material condition score for the MLB was 15 points while the RB-S was 13 points. The two scores will be averaged for the final Platform Material Condition score (in this case 14 points). If the average contains a decimal, the number will be rounded up if 0.5 or greater (Boat One = 14 points, Boat Two = 11 points, Average = 12.5, Points toward award = 13).

NOTE: STAN Teams will inspect all standard boats that are berthed at units. Maintenance relief hulls will be included in the assessment for purposes of this award, unless they are deployed as a relief boat or undergoing major maintenance availability.

- 3. **Knowledge based written tests.** Tests are administered to all boat crew personnel (coxswain, engineer and crewmembers). However, only the test scores for certified crewmembers will be used to establish unit scores. For the purpose of this award, the average of all certified crew positions will be used in determining the point value (i.e., coxswain average 90.4% + engineer average 85.5% + crew average 80.6% / 3 = 85.5% = 4 points.). Overall unit average of .5 or greater will be rounded up to the next whole number.

Overall Unit Average = Point Scale

90 -100% = 5

80 - 89% = 4

70 - 79% = 2

60 - 69% = 1

< 60% = 0

NOTE: Unit personnel will take exams for each platform/position they are certified. **These exams will count towards unit's final average.** Personnel may also take those exams that apply to positions they are currently in the process of qualifying on for evaluation. (i.e., member is a certified coxswain on the RB-S, certified crew member on the UTB, and break-in coxswain on the UTB. Member will take coxswain test for the RB-S and crew member test for the UTB for score. Member may take the coxswain test for the UTB for unit evaluation purposes only).

4. Underway exercises. Underway exercises will be conducted on all inspected platforms. Individual scores will be generated per boat type. Points for underway exercises will be determined by the percentage of underway exercises with passing scores (met established drill standards). For example, 9 of 10 drills passed = 90% = 8 points, 13 of 16 drills passed = 81% = 6 points, etc. All drills (core and optional) will be included in the final score. For units with multiple type platforms, the final percentages for each platform will be averaged creating one final percentage that will be scored for points.

96 - 100% = 10
91 - 95% = 9
86 - 90% = 8
81 - 85% = 6
76 - 80% = 3
71 - 75% = 2
65 - 70% = 1

NOTE: At a minimum, all certified personnel will perform at least one of the core exercises at their highest level of certification. If time permits, additional exercises will be completed.

5. Personal Protective Equipment. Points in this category will be assigned based on overall compliance with reference (b). The following scoring system will be applied:

Issuance: In Compliance = 1 pt
Documentation: In Compliance = 2 pt
PMS: In Compliance = 3 pts
Material Condition: In Compliance = 4 pts

6. Training Program Evaluation. Points in this category will be assigned based on overall compliance with reference (a). The following scoring system will be applied:

Program (administration): In Compliance = 2 pts
Training Records: In Compliance = 3 pts
Currency: In Compliance = 5 pts

7. Examples.

Station A: (one inspected boat)

Boat's Initial Evaluation: Bravo
Material Condition: 13 points
Written Test: 4 points
Underway Exercise: 9 points
PPE: 9 points
Training: 10 points
Total unit score: 45 points

This unit qualifies for the Sumner I. Kimball Award. Inspected boat's initial assessment was "Bravo" and minimum point requirement was achieved.

Station B: (one inspected boat)

Boat's Initial Evaluation: Bravo (Restricted)
 Material Condition: 13 points
 Written Test: 4 points
 Underway Exercise: 9 points
 PPE: 9 points
 Training: 8 points
Total unit score: 43 points

This unit does not qualify for the Sumner I. Kimball Award. While's the inspected boat's initial assessment was "Bravo (Restricted), unit's final score did not meet the 90% requirement (45 of 50 possible points).

Station C: (two boats attached, one ready boat required)

Ready Boat Initial Evaluation: Bravo
 Stand-By Boat Initial Evaluation: Charlie
 Material Condition:
 Boat One: 15 points
 Boat Two: 9 points
 Total Material Condition: 12 points
 Written Test: 4 points
 Underway Exercise: 9 points
 PPE: 10 points
 Training: 10 points
Total unit score: 45 points

This unit qualifies for the Sumner I. Kimball Award. Ready boat's initial assessment was "Bravo" and minimum point requirement was achieved.

Station D: (four boats, two ready boats required)

Ready Boat One Initial Evaluation: Bravo
 Ready Boat Two Initial Evaluation: Charlie
 Stand-By Boat Three Initial Evaluation: Charlie
 Stand-By Boat Four Initial Evaluation: Bravo (Restricted)
 Material Condition:
 Boat One: 15 points
 Boat Two: 11 points
 Boat Three: 10 points
 Boat Four: 13 points
 Total Material Condition: 12 points
 Written Test: 4 points
 Underway Exercise: 9 points
 PPE: 10 points
 Training: 10 points
Total unit score: 45 points

This unit does not qualify for the Sumner I. Kimball Award. While the unit met the minimum score required to receive the award, ready boat two's initial assessment was "Charlie."